

MEMORANDUM
FOR YOUR FEEDBACK

ASCM
Association Of Stockbroking Companies Malaysia

Ref No : 0036 of 2020/PO

Date : 23 October 2020

To : Executive Director/ Head of Dealing
Executive Director/ Head of Operations

From : The Secretariat, ASCM

By Email

No. of pages : As per attachment

RE : **INDUSTRY ISSUES TO BE RAISED AT UPCOMING SMOC MEETING**

Dear Members,

Reference is made to the above-mentioned subject.

The upcoming Securities Market Operations Committee (SMOC) meeting will tentatively be held on 9th December 2020.

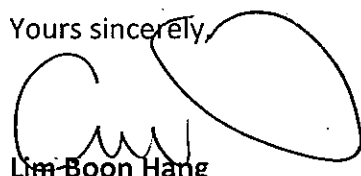
The SMOC meeting is an industry forum to discuss issues and development relating to the operational aspects of the securities market. The SMOC meets quarterly with our Committee to look into and resolve any operational issues encountered by the industry. In view of this, we are pleased to invite members to share any operational issues and suggestions to be raised at this upcoming SMOC meeting.

We are attaching herewith, a summary of issues raised at the last SMOC meeting held on 15th September 2020 together with Bursa's responses to the issues raised for your reference.

Kindly provide your input using the attached reply form to us at saifulazmi@ascm.org.my latest by 6th November 2020.

Thank you

Yours sincerely,



Lim Boon Hang

Executive Director, ASCM Secretariat