

MEMORANDUM
FOR YOUR FEEDBACK

Ref No : 0028 of 2018/PO

Date : 8 August 2018

To : Executive Director/ Head of Dealing
Executive Director/ Head of Operations

From : The Secretariat, ASCM

By Email

No. of pages : As per attachment

RE : Briefing on FSPB's Professional Code

Dear Members,

The Financial Services Professional Board (FSPB) is a voluntary, industry-led initiative focussed on the development and advocacy of standards in professionalism and ethics across the financial services industry. The FSPB is a joint collaboration between Bank Negara and SC to develop clear, internationally recognised standards of professional conduct and ethical behaviour across the financial services industry, spanning key areas of banking, capital markets, insurance and Islamic finance.

The FSPB had launched the Code of Ethics for the Financial Services Industry in 2016 as well as the FSPB Guidance Notes in 2017. Recently, the FSPB Professional Code for the Financial Services Industry (initially known as the FSPB Code of Conduct) was successfully launched on 24 May 2018 which outlines the 10 standards of professional conduct expected of organisations and individuals across the industry.

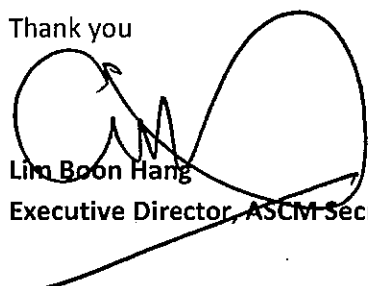
FSPB would like to brief our members on the FSPB's Professional Code for the Financial Services Industry.

In light of this, ASCM is pleased to invite 2 representatives from your organisation to attend the briefing which will be held as follows:

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|-----------|--|
| Date/Time | Tuesday, 28 August 2018 / 3:00 to 4:30 pm |
| Venue | ASCM Secretariat Office D-3-53, Block Dahlia, 10 Boulevard, Lebuhraya SPRINT, PJU 6A, 47400 Petaling Jaya |

Kindly register your representatives using the attached registration form at secretariat@ascm.org.my by 20th August 2018.

Thank you



Lim Boon Hang
Executive Director, ASCM Secretariat