

Securities Industry Webinar 2021

‘Macroeconomic Forces in Financial Market & Investing In Clean Energy Industry’

Organized by the Association of Stockbroking Companies Malaysia (ASCM)

COURSE OBJECTIVE

In this course, we will look into the following areas:

- Major forces shaping global financial markets (looking into Biden policies, US and China relationship post Trump era).
- Implications of fiscal and monetary policies (looking into Fed and BNM policies, interest rate directions and inflation risks).
- Impact of global economic drivers on business cycles.
- US, China and Malaysia economic indicators affect stock market and business cycles.
- The importance of clean energy industry versus conventional energy industry.
- The characteristics and types of clean energy industry, including energy efficiency, renewable electric power generation, alternative transportation, renewable fuels, grid modernization and storage.
- Investment opportunities in clean energy industry in global, Asia-Pacific as well as in Malaysia.

LEARNING OUTCOME

Upon completion of the course, participants would be able to:

- Identify and explain global economic drivers that affect capital markets.
- Identify major market forces and the market reaction due to the forces.
- Discuss how to maximise the economic news effectively.
- Explain the reasons why clean energy industry is an upcoming industry in the near future.
- Explain characteristics and types of clean energy industry.
- Identify investment opportunities in clean energy industry in global, Asia-Pacific as well as in Malaysia.

METHODOLOGY

Interactive presentations and discussions on online platform.

PROGRAMME OUTLINE: Macroeconomic Forces in Financial Market & Investing in Clean Energy Industry

8.30 am Registration

9:00 am **Macroeconomic Forces in Financial Market**

- Major forces shaping global financial markets (looking into Biden's policies, US and China relationship post Trump era),
- Latest developments in Covid-19 and vaccines,
- Implications of fiscal and monetary policies (looking into Fed and 'BNM policies, interest rate directions and inflation risks),
- Impact of global economic drivers on business cycles,
- US, China and Malaysia economic indicators affect stock market and business cycles.

Speaker: Ooi Kok Hwa, MRR Consulting

11:00 am Morning break

11:30 am **Investing In Clean Energy Industry**

- The importance of clean energy industry versus conventional energy industry
- The characteristics and types of clean energy industry, including energy efficiency, renewable electric power generation, alternative transportation, renewable fuels, grid modernization and storage.
- Investment opportunities in clean energy industry in global, asia-pacific as well as in Malaysia

Speaker: Ooi Kok Hwa, MRR Consulting

1:30 pm End of Program

Securities Industry Webinar 2021

‘Facing High Market Volatilities and Asset Allocation Strategies’

Organized by the Association of Stockbroking Companies Malaysia (ASCM)

COURSE OBJECTIVE

Upon completion of this course, participants will be able to:

- Describe 6 types of asset allocation strategies, namely strategic asset allocation, constant-weighting allocation, tactical asset allocation, dynamic asset allocation, insured asset allocation and integrated asset allocation;
- Explain asset allocation implications of the global volatility premium;
- Describe the use of sensitivity risk measures and scenario risk measures;
- Explain constraints used in managing market risks, including risk budgeting, position limits, scenario limits, and stop-loss limits;
- Explain the roles that alternative investments play in multi-asset portfolios;
- Compare alternative investments and bonds as risk mitigators in relation to a long equity position;
- Discuss investment considerations that are important in allocating to different types of alternative investments;

LEARNING OUTCOME

Course Outlines

- Strategic asset allocation, constant-weighting allocation, tactical asset allocation, dynamic asset allocation, insured asset allocation and integrated asset allocation;
- Asset allocation implications of the global volatility premium;
- The use of sensitivity risk measures and scenario risk measures;
- Constraints used in managing market risks, including risk budgeting, position limits, scenario limits, and stop-loss limits;
- The roles that alternative investments play in multi-asset portfolios, like hedge funds, private equity, real assets, commercial real estate, private credit and crypto assets;
- Volatility reduction over the short-term horizon;
- Risk-based approaches to asset classification;
- Comparing risk-based and traditional approaches

METHODOLOGY

Interactive presentations and discussions on online platform.

PROGRAMME OUTLINE: 'Facing High Market Volatilities and Asset Allocation Strategies'

8.30 am Registration

9:00 am 'Facing High Market Volatilities and Asset Allocation Strategies'

- Identify and explain global economic drivers that affect capital markets.
- Identify major market forces and the market reaction due to the forces.
- Discuss how to maximise the economic news effectively.
- Explain the reasons why clean energy industry is an upcoming industry in the near future.
- Explain characteristics and types of clean energy industry.
- Identify investment opportunities in clean energy industry in global, Asia-Pacific as well as in Malaysia.

Speaker: Ooi Kok Hwa, MRR Consulting

11:00 am Morning break

11:30 am 'Facing High Market Volatilities and Asset Allocation Strategies'

- Strategic asset allocation, constant-weighting allocation, tactical asset allocation, dynamic asset allocation, insured asset allocation and integrated asset allocation;
- Asset allocation implications of the global volatility premium;
- The use of sensitivity risk measures and scenario risk measures;
- Constraints used in managing market risks, including risk budgeting, position limits, scenario limits, and stop-loss limits;
- The roles that alternative investments play in multi-asset portfolios, like hedge funds, private equity, real assets, commercial real estate, private credit and crypto assets;
- Volatility reduction over the short-term horizon;
- Risk-based approaches to asset classification;
- Comparing risk-based and traditional approaches.

Speaker: Ooi Kok Hwa, MRR Consulting

1:30 pm End of Program

CONTINUING PROFESSIONAL EDUCATION (CPE)

Upon the approval by the Securities Commission, this webinar would carry 10 CPE points for the Capital Markets and Services Representative's Licence (CMSRL) holders and the Employees of Registered Persons (ERPs).

TARGET PARTICIPANTS

CMSRL holders, Employees of Registered Persons (ERPs) or any persons (Compliance, Dealing, Audit, Operations and relevant officers) whose activities fall under the Capital Market Services Act 2007.

NUMBER OF PARTICIPANTS

Maximum of 60 participants

SESSION	DATE	COURSE OFFERED
7	Saturday, 24 July 2021	Macroeconomic Forces in Financial Market & Investing In Clean Energy Industry
8	Saturday, 21 August 2021	Facing High Market Volatilities and Asset Allocation Strategies
9	Saturday, 18 September 2021	Facing High Market Volatilities and Asset Allocation Strategies
10	Saturday, 9 October 2021	Facing High Market Volatilities and Asset Allocation Strategies
11	Saturday, 6 November 2021	Facing High Market Volatilities and Asset Allocation Strategies
12	Saturday, 4 December 2021	Facing High Market Volatilities and Asset Allocation Strategies

DURATION

4.5 hours (9:00am to 1:30pm)

FEE

Early Bird Rate (for registration 14 days before the date of each webinar)

ASCM Members	: RM 100 per pax
Non-ASCM Members	: RM 150 per pax

Normal Rate

ASCM Members	: RM 120 per pax
Non-ASCM Members	: RM 170 per pax

PAYMENT

Full payment is required with registration, or at least 7 days prior to the commencement of the webinar via either one of the following methods:

- Cheque made payable to the **ASSOCIATION OF STOCKBROKING COMPANIES MALAYSIA**; or
- Bank Transfer or Interbank GIRO (IBG). The Association's banking details are as follows:-

Payable to : ASSOCIATION OF STOCKBROKING COMPANIES MALAYSIA

Bank Account No. : 512688229954

Bank Details : Malayan Banking Berhad

Damansara Jaya Branch, 65 & 67, Jalan SS 22/19, Damansara Jaya 47400 Petaling Jaya

Please email a copy of the bank transfer or IBG confirmation to the Association at education@ascm.org.my

CANCELLATION

Cancellations will NOT be entertained. Nevertheless, substitute(s) will be accepted at no extra charge with 48 hours' notice.

DISCLAIMER

The Association reserves the right to amend the terms, course contents and program without prior notice if it is deemed necessary to ensure the effectiveness and success of the program.

FOR FURTHER DETAILS

Please contact the Association of Stockbroking Companies Malaysia

D-3-53, Block Dahlia, 10 Boulevard, Lebuhraya SPRINT, PJU 6A,

47400 Petaling Jaya, Selangor

Email: secretariat@ascm.org.my

Telephone: +603-77327768 & +603-77327769

Fax: +603-77337760

SPEAKER'S PROFILE

Mr. Ooi Kok Hwa

CFA, CPA (Aust.), CFE, CM&AA, ICVS, MA (Finance), B.Sc (Actuarial Science)

Mr. Ooi is the Managing Partner of MRR Consulting, dealing mainly with Business Appraisal, Investment and Financial Training. He is a Chartered Financial Analyst (CFA) charter holder, Certified Practising Accountant CPA (Australia), Certified Fraud Examiner (CFE), Certified Merger and Acquisition Advisor (CM&AA), International Certified Valuation Specialists (ICVS) and is also registered member for Business Valuers Association Malaysia (BVAM). Presently, he is a licensed Investment Advisor by the Securities Commission of Malaysia. Besides, he has also passed Certified Business Appraiser (CBA) Exam in the year 2003 and Certified Valuation Analyst (CVA) Exam in 2018.

As a licensed investment advisor, Mr. Ooi provides business appraisals for sale and purchase, intellectual property (IP) valuation, valuation on ESOS and pension plan. Besides, he also provides investment advisory services to clients, covering investments in Malaysia, Singapore, Hong Kong and US markets. Mr. Ooi is currently actively involved in providing investment training, CFA Examination preparation courses and Continuing Professional Education (CPE) courses for fund managers, research analysts, remisiers, brokers and general public. He has conducted CFA classes in Penang, Kuala Lumpur, Singapore, Shanghai, Vietnam and Brunei. Besides, he is also one of the main trainers in providing technical training sessions and examination material preparation for the training arm of Securities Commission of Malaysia, the Security Industry Development Corporation's (SIDC), covering various programs, such as Graduate Development program, Directors' training program and APEC Work Placement Program. In addition, he is also a regular trainer for Singapore Stock Exchange (SGX), Institute of Singapore Chartered Accountants (ISCA) and Malaysian Institute of Accountants (MIA).

Currently, Mr. Ooi is one of the FAA (Finance Accreditation Agency) accreditation panels. Besides, he is also a moderator and industry expert for some of the FAA Learning Standards. The FAA, supported by Bank Negara Malaysia and Securities Commission Malaysia, is responsible for raising the standards and quality of professional learning and development in the financial services industry. Besides, he is also a Visiting Fellow for USM Management School.

Mr. Ooi is regularly featured in the local media. He is an investment columnist for Sin Chew Yit Poh. Previously, he was the investment columnist for the Star Business (the Malaysian main English business newspaper) for 4 years and Pulses Magazine (published by Singapore Stock Exchange - SGX). He has also been invited as a guest speaker for NTV7, Astro AEC, 8TV (Malaysia TV channels) as well as BFM, a business radio channel, in Malaysia.