

MEMORANDUM
FOR YOUR FEEDBACK



Ref No: 0042 of 2017/PO

Date: 8 November 2017

To : Executive Director/ Head of Dealing
Executive Director/ Head of Operations
Member Companies / Secretaries

From : The Secretariat, ASCM

By Email

No. of pages : As per attachment

RE : **NOMINATIONS FOR THE MANAGEMENT COMMITTEE 2018 OF ASSOCIATION
OF STOCKBROKING COMPANIES MALAYSIA (ASCM)**

Dear Members,

The Management Committee Members of the ASCM (in alphabetical order) for Year 2017 are as follows:

- (1) AffinHwang Investment Bank Bhd
- (2) BIMB Securities Sdn Bhd
- (3) CIMB Investment Bank Bhd
- (4) FA Securities Sdn Bhd
- (5) Kenanga Investment Bank Bhd
- (6) M&A Securities Sdn Bhd
- (7) Mercury Securities Sdn Bhd
- (8) SJ Securities Sdn Bhd
- (9) UBS Securities Malaysia Sdn Bhd

In accordance to Clause 21.1 (i) of the Constitution of ASCM, the following 6 Management Committee Members will be retiring at the **31st Annual General Meeting (AGM) of the ASCM** to be held on **Thursday, 7th December 2017**, at **2.30 pm**, at D-3-53, Block Dahlia, 10 Boulevard, Lebuhraya SPRINT, PJU 6A, 47400 Petaling Jaya, Selangor:

- (1) AffinHwang Investment Bank Bhd
- (2) BIMB Securities Sdn Bhd
- (3) CIMB Investment Bank Bhd
- (4) FA Securities Sdn Bhd
- (5) M&A Securities Sdn Bhd
- (6) UBS Securities Malaysia Sdn Bhd

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Kindly be informed of the following:-

1. Member Companies retiring but eligible for re-election :-
 - i. AffinHwang Investment Bank Bhd
 - ii. CIMB Investment Bank Bhd
 - iii. FA Securities Sdn Bhd
 - iv. M&A Securities Sdn Bhd
 - v. UBS Securities Malaysia Sdn Bhd
2. BIMB Securities Sdn Bhd will be retiring but not eligible for re-election pursuant to Clause 21.1 (i) as they have remained in office for 3 consecutive terms, consisting of 6 years.
3. Member Companies remaining in office for 2018 :-
 - i. Kenanga Investment Bank Bhd
 - ii. Mercury Securities Sdn Bhd
 - iii. SJ Securities Sdn Bhd

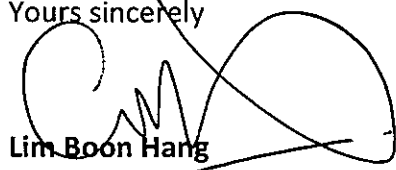
Pursuant to Clause 21.1 of the Constitution of ASCM, in respect thereof, we are pleased to enclose the Nomination and the Acceptance Forms for your kind completion and return, by indicating your nominees to fill **6 vacancies** in serving on the Management Committee for 2018.

Kindly note that your nominations of the Management Committee Member must be in the name of the Member Companies, however, the acceptance/consent of the person who will be standing for election at the AGM must be obtained, by due completion of the Acceptance Form, which is enclosed.

Pursuant to Clause 21.1 (iii) & (iv) of the Constitution of ASCM and in compliance thereof, kindly return scanned copies of the completed and duly signed Nomination and the Acceptance Forms to the Secretariat of ASCM at secretariat@ascm.org.my , **no later than 5.00 pm on Saturday, 18th November, 2017**. You may also mail the hardcopy of these forms to us. If these said forms are not received by this specified date and time, they will not be considered as valid and thus, will be treated as null and void. Should you have any query, kindly contact the Secretariat on Tel. No. 603-77327768.

Thank you.

Yours sincerely



Lim Boon Hang
Executive Director, ASCM Secretariat