

**MEMORANDUM
FOR FEEDBACK**



Ref No : 0039 of 2017/PO
Date : 9 October 2017

To : Executive Director/ Head of Dealing
Executive Director/ Head of Operations

From : The Secretariat, ASCM

By Email

No. of pages : As per attachment

RE : DIALOGUE WITH BURSA'S MARKET PARTICIPANTS COMMITTEE

Dear Members,

The Market Participants Committee (MPC) is a committee established by the Board of Bursa Malaysia Berhad. MPC is responsible to preside over matters relating to alleged breaches by Participating Organisations and matters related to registration and regulations of Participating Organisations, Registered Persons, Clearing Participants, Authorised Depository Agents and Authorised Direct Members (ADMs). Attached is the Terms of Reference of MPC for your ease of reference.

The MPC proposed to have a dialogue with ASCM tentatively on 6th November 2017 on issues relating to regulatory and enforcement of the securities industry. ASCM has accepted MPC's proposal for the dialogue to raise regulatory and enforcement issues pertinent to the stockbroking fraternity.

In facilitating a fruitful dialogue for the stockbroking fraternity, we would appreciate members to provide feedback and share any regulatory and enforcement issues faced, together with suggestions to resolve the issues.

Kindly revert to us using the attached reply form and email to saifulazmi@ascm.org.my by 23rd October 2017.

Thank you

Yours sincerely

Lim Boon Hang

Executive Director, ASCM Secretariat